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on April 22, 2004



*Glenn P. Ladwig*  
Glenn P. Ladwig, Patent Attorney

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT  
Examining Group 1632  
Patent Application  
Docket No. USF-182XC1  
Serial No. 10/655,873

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit : 1632  
Applicants : Shyam S. Mohapatra, Mukesh Kumar  
Serial No. : 10/655,873  
Filed : September 5, 2003  
For : Genetic Adjuvants for Immunotherapy

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§1.97 AND 1.98

Sir:

In accordance with 37 CFR §1.56, the references listed on the attached form PTO/SB/08 are being brought to the attention of the examiner for consideration in connection with the examination of the above-identified patent application. A copy of the cited reference is enclosed.

The applicants respectfully assert that the substantive provisions of 37 CFR §§1.97 and 1.98 are met by the foregoing statement.

Respectfully submitted,

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GPL/mv

Attachments: Form PTO/SB/08 (1 page); copy of reference cited therein.



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Approved for use through 07/31/2006. OMB 0651-0031

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PTO/SB/08B (08-03)

Substitute for form 1449B/PTO  
**INFORMATION DISCLOSURE  
 STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of

1

**Complete if Known**

|                      |                    |
|----------------------|--------------------|
| Application Number   | 10/655,873         |
| Filing Date          | September 5, 2003  |
| First Named Inventor | Shyam S. Mohapatra |
| Group Art Unit       | 1632               |
| Examiner Name        |                    |

Attorney Docket Number

USF-182XC1

**NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|--------------------|-----------------------|--|----------------|
|                    | R1                    | KUMAR, M. et al. "Chitosan IFN- $\gamma$ -pDNA nanoparticle (CIN) therapy for allergic asthma" <i>Genetic Vaccines and Therapy</i> , October 2003, 1(1):3.   |                |
|                    | R2                    |  |                |
|                    | R3                    |  |                |
|                    | R4                    |  |                |
|                    | R5                    |  |                |
|                    | R6                    |  |                |
|                    | R7                    |  |                |
|                    | R8                    |  |                |
|                    | R9                    |  |                |
|                    | R10                   |  |                |
|                    | R11                   |  |                |
|                    | R12                   |  |                |
|                    | R13                   |  |                |

|                    |                 |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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